



Date: 1 September 2022

NOTICE NO. FIU/N-1/2022/1

**APPENDIX 1: APPLICATION FOR APPROVAL NOTICE ON MEASURES FOR NON-FACE-TO-FACE
CUSTOMER ONBOARDING AND ONGOING CUSTOMER DUE DILIGENCE**



Appendix 1: Application for Approval Notice on Measures for Non-Face-To-Face Customer Onboarding and Ongoing Customer Due Diligence

Contents

1. EXPLANATORY NOTES	3
2. REQUIRED INFORMATION.....	3
3. DECLARATION BY KEY RESPONSIBLE PERSON	5



Appendix 1: Application for Approval Notice on Measures for Non-Face-To-Face Customer Onboarding and Ongoing Customer Due Diligence

1. EXPLANATORY NOTES

- 1.1. Please read the explanatory notes and service requirements carefully before completing the approval application form.
- 1.2. Please only complete the service requirements where relevant and applicable. If a service requirement is not applicable, please mark "N/A" in the space provided.
- 1.3. If there are any changes in the information furnished in the application prior to the approval of the application, the Authority shall be notified immediately.

2. REQUIRED INFORMATION

No.	Requirements	Description
General Information		
1.	Name of E-KYC and developer	
2.	Proposed launch date	
3.	(a) Name of vendor for supply and installation of E-KYC	
	(b) Name of third-party service provider <i>Note: Applicable for E-KYC hosted and managed by a third-party service provider (including cloud services)</i>	
4.	Objective and features of the service	
5.	Notification/Approval from Home Jurisdiction <i>Note: For foreign branches only</i>	
6.	Explain the type and features of the service, including the target audience	
Technical Information		
7.	Explain how will the E-KYC be implemented (e.g. in built, system integration, API) and maintained	
8.	Type of customer data that will be taken for the verification (e.g. biometric, image) and the security measure(s) in place to protect customer data	

Appendix 1: Application for Approval Notice on Measures for Non-Face-To-Face Customer Onboarding and Ongoing Customer Due Diligence



9.	Explain the method to verify the sensor and device used for E-KYC application (especially on the customer side), its compatibility and reliability	
10.	Explain the approach to ensure timeliness, liveness, accuracy and quality of the customer data taken for verification	
11.	Explain the method and process in verifying the customer data	
12.	Explain how the FAR testing will be performed (e.g. focus group data, generated verification activities report)	
Assessments		
13.	Security assessments performed on the E-KYC application [e.g. penetration testing, Biometric presentation attack detection testing].	
14.	Assessment on the potential risks identified including exposures to money laundering and terrorism financing risk which may arise from the use of service, including measures and procedures to mitigate such risks	
Supporting Documents (where applicable)		
1.	<input type="checkbox"/> Board / Management resolution approving the launching/application of service	
2.	<input type="checkbox"/> Contract / Agreement with third party vendor	
3.	<input type="checkbox"/> Risk assessment(s) on use of service	
4.	<input type="checkbox"/> Policies and procedures relating to the service	

Appendix 1: Application for Approval Notice on Measures for Non-Face-To-Face Customer Onboarding and Ongoing Customer Due Diligence



5.	<input type="checkbox"/> Others, please specify:
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Note:

Services that do not comply with the requirements of the application shall be deemed unsatisfactory or incomplete and will be returned to the applicant.

3. DECLARATION BY KEY RESPONSIBLE PERSON

I hereby declare that all information provided in this application and its annexures is true and correct. I certify that the information given in this application is complete and accurate to the best of my knowledge, information and belief and that there are no other facts relevant to this application of which Brunei Darussalam Central Bank (BDCB) should be aware. I further undertake to inform BDCB of any changes material to the application which arise while BDCB is considering the application.

Signature:

Name:

Date: